

In re Application of:

Examiner:

Szpira, Julie Ann

Rene Kammerlander et al.

Serial No.

10/590,972

Art Unit:

3731

Filed:

August 25, 2006

Confirm. No.: 2728

Title:

DEVICE FOR THE INSERTION OF DEFORMABLE INTRA-OCULAR

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Supplemental Information Disclosure Statement is being submitted after receiving the office action. Accordingly, please charge Deposit Account No. 07-1850 in the amount of \$180.00 for the fee set forth in 37 CFR §1.17(p). The Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date:

January 26, 2009

Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Facsimile (415) 393-9887 Telephone (415) 954-0241 szhu@ssd.com Respectfully submitted,

Song Zhu

Attorney for Applicants

Reg. No. 44,420

Please type a plus sign (+) inside this box —> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

87.55		Application Number	10/590,972				
TRANSMITTAL			Filing Date	August 25	5, 2006		
FEB 0 2 2009 HORM			First Named Inventor	Kammerla	ander		
to be used for all correspondence after initial filing)			Group Art Unit	3731			
TADEMAR O			Examiner Name	Szpira, Ju	lie Ann		
Total Number of Pages in This Submission (excluding references)			Attorney Docket Number	42445.40037			
ENCLOSURES (check all that apply)							
Deposit Account 07-1850 Assignr		ment Papers Application)	After Allowance Communication to Group				
⊠ Postage Paid Ret	M Bostogo Boid Botura Bostogra		g(s) In/Formal Sheets with ssion of Drawings Transmittal	Appeal Communication to Board of Appeals and Interferences			
_		Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
Transmittal Letter	r (in duplicate)	Petition	1 .	Proprietary Information			
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter			
Petition for Extension of Time (months) (in duplicate)			of Attorney, Revocation e of Correspondence Address		Enclosure(s) identify below):		
I months) (in deplicate)		Termin	al Disclaimer	1 "	References		
(in duplicate) with Form PTO-1449 (7 pgs) citing 215 References		Reque	st for Refund				
		CD, No	umber of CD(s)				
Certified Copy of Priority Document(s)		Rema	rks				
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT			
Firm or Individual name Squire, Sanders & Dempsey L.L.P. Song Zhu, Ph.D., Reg. No. 44,420							
Signature Smx L							
Date January 28, 2009							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a box							
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date bellow:							
Typed or printed nam	e Vera Breder						
Signature	fu	- 11d	u	Date	January 28 , 2009		

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FÉR TRANSMITTAL	Application Number	10/590,972			
FEB 0 2 2009 For FY 2003	Filing Date	August 25, 2006			
*	First Named Inventor	Rene Kammerlander			
Effective 9 01/2003. Patent fees are subject to annual revision.	Examiner Name	Szpira, Julie Ann			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	3731			
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	042445.40037			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES					
Order Deposit Account:	Large	Entity	Small I	Entity		
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit 07-1850		130	2051	65	Surcharge - late filing fee or oath	
Number	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit	1053	130	1053	130	Non-English specification	
Account Squire, Sanders & Dempsey L.L.P.	1812	2,520	1812	2,520	For filing a request for reexamination	
Name	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
The Director is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account. FEE CALCULATION	1252	420	2252	205	Extension for reply within second month	
	1253	950	2253	465	Extension for reply within third month	
BASIC FILING FEE Large Entity Small Entity Small Entity	1254	1,480	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee <u>Fee Description</u>	1255	2,010	2255	985	Extension for reply within fifth month	
1001 .770 2001 385 Utility filing fee	1401	330	2401	160	Notice of Appeal	
1002 330 2002 165 Design filling fee	1402	330	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee	1403	290	2403	140	Request for oral hearing	
1004 750 2004 375 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$)	1453	1,300	2453	650	Petition to revive – unintentional	
(0)	1501	1,330	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES	1502	470	2502	235	Design issue fee	
Extra Fee from Fee	1503	630	2503	315	Plant issue fee	
Claims below Paid	1460	130	1460	130	Petitions to the Commissioner	
Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Foe Foe Foe	1809	750	2809	375	Filing a submission after final rejection	
Code (\$) Fee Description					(37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	
1201 84 2201 42 Independent claims in excess of 3	1				examined (37 CFR § 1.129(b))	
1203 280 2203 140 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 84 2204 42 Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent						
	Other	ee (speci	ry)	-		
SUBTOTAL (2) (\$) .00	I _					
	*Redu	ced by B	asic Filin	g Fee P	aid SUBTOTAL (3) (\$)180	.00
**or number previously paid, if greater; For Reissues, see above						

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Song Zhu, Ph.D	Registration No. Attorney/Agent)	44,420	Telephone	(415) 954-0200
Signature	12000 H	h		Date 7	January 2009

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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